

NORTHUMBERLAND COUNTY COUNCIL PENSION FUND  
Pension Fund Panel Meeting 21 June 2019

Record of breach - contributions  
quarter ended 31 March 2019

Date	Category (e.g. administration, contributions, funding, investment, criminal activity)	Description and cause of breach	Possible effect of breach and wider implications	Reaction of relevant parties to breach	Reported / Not reported (with justification if not reported and dates)	Outcome of report and/or investigations	Outstanding actions
March 2019	Contributions due from employer 994.	Failure by employer 994 to pay monthly contributions within 19 days of month end for March 2019. Omission after 31 March exit from fund.	Possibility of error in members' pension records.	<p><u>Administering authority:</u> Employer 994 contacted and asked for final contributions payment as a priority.</p> <p><u>Employer:</u> As soon as employer made aware of problem, payment was arranged. Omission due to change in key personnel and mistaken belief all obligations were over after exit from fund.</p>	<p>Not reported</p> <p>Not of material significance</p> <p>Isolated incident</p>	N/A	No further actions required as employer has exited from fund.